PTO/SB/21 (08-03) 2 6 2004 **Application Number** 10/754,445 TRANSMITTAL January 9, 2004 Filing Date **FORM** First Named Inventor JACKSON, JEROME (to be used for all correspondence after initial filing) Art Unit Unassigned **Examiner Name** Unassigned Total Number of Pages in This Attorney Docket Number 021827-000500US Submission ENCLOSURES (Check all that apply) Fee Transmittal Form Drawing(s) After Allowance Communication to Group Appeal Communication to Board of Appeals Licensing-related Papers Fee Attached and Interferences Petition Appeal Communication to Group (Appeal Amendment/Reply Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Request for Refund Return Postcard Express Abandonment Request CD, Number of CD(s) PTO/SB/08A and /08B Form Information Disclosure Statement 0 Reference Copies The Commissioner is authorized to charge any additional fees to Deposit Certified Copy of Priority Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Robert F. Kramer Reg. No. 51,242 Individual Signature Date **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Signature

Typed or printed name

**Edward Masinas** 

Edward Masnas

Date

4-23-04

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Attorney Docket No.: 021827-000500US

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

4-23-04

TOWNSEND and TOWNSEND and CREW LLP

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jerome Jackson et al.

Application No.: 10/754,445

Filed: January 9, 2004

For: SYSTEMS AND METHODS FOR TREATING ABNORMAL EPITHELIUM

IN AN ESOPHAGUS

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/714,344, now US Patent 6,551,310, issued April 22, 2003 (Attorney Docket No. 021827-000120US), except copies of reference nos. 1-7, 17, 19, 21-22, 28-29, 31, 36, 38-41, 46, 53, 61-62, 64, 67, 70-71, 74, 76-77, 79-80, and 82-85, which can be found in Application No. 10/370,645 (Attorney Docket No. 021827-000130US). It is respectfully requested that the cited references be expressly considered during the prosecution of

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this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Robert F. Kramer Reg. No. 51,242

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RFK:bil 60199530 v1

Substitute for form 1449B/PTO **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet

Complete if Known				
Application Number	10/754,445			
Filing Date	January 9, 2004			
First Named Inventor	Jerome Jackson et al.			
Art Unit	Unassigned			
Examiner Name	Unassigned			
Attorney Docket Number	021827-000500US			

	U.S. PATENT DOCUMENTS+							
Examiner Initials*	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant			
Illiuais	1	US-2001/0041887 A1	11/2001	Crowley	Figures Appear			
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

Substitute fo	r form 1449B/PTO		Complete if Known
INFOR	MATION DIGGLOCUDE	Application Number	10/754,445
	MATION DISCLOSURE	Filing Date	January 9, 2004
STATE	EMENT BY APPLICANT	First Named Inventor	Jerome Jackson et al.
		Art Unit	Unassigned
(use as many sheets as necessary)		Examiner Name	Unassigned
Sheet	of	Attorney Docket Number	021827-000500US

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	40	US-5,836,874	11/1998	Swanson, et al.	Figures Appear
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INFORMATION DISCLOSURE			Filing Date	January 9, 2004
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(use	e as many sheets a	ns necessary)	Examiner Name	Unassigned
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Cite No.1	Country Code <sup>3</sup>	Number <sup>4</sup> kind Code <sup>5</sup> (# known)	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	nt Te
85	wo	WO 99/42046	08/1999			
86	wo	WO 99/55245	11/1999			
87	wo	WO 00/59393	10/2000			
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